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OCT 05 2006

**FAX TRANSMISSION****DATE:** October 5, 2006**PTO IDENTIFIER:** Application Number 10/524,079-Conf. #4214

Patent Number

**Inventor:** Nobuo KIMIZUKA et al.**MESSAGE TO:** US Patent and Trademark Office**FAX NUMBER:** (571) 273-8300**FROM:** LAHIVE & COCKFIELD, LLP

Anthony A. Laurentano

**PHONE:** (617) 227-7400**Attorney Dkt. #:** TAW-012US**PAGES (Including Cover Sheet):** 17**CONTENTS:**  
Request for Corrected Filing Receipt (2 pages)  
Copy of Filing Receipt with correction noted thereon (3 pages)  
Copy of Preliminary Amendment (7 pages)  
Copy of Supplemental Application Data Sheet (3 pages)  
Certificate of Transmission (1 page)

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**LAHIVE & COCKFIELD, LLP**  
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PTO/SB/97 (09-04)

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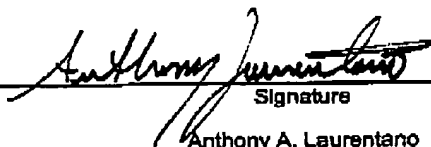
Application No. (if known): 10/524,079

Attorney Docket No.: TAW-012US

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on October 5, 2006  
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Signature

Anthony A. Laurentano

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38,220  
Registration Number, if applicable

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Telephone Number

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Request for Corrected Filing Receipt (2 pages)  
Copy of Filing Receipt with corrections noted thereon (3 pages)  
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Copy of Supplemental Application Data Sheet (3 pages)

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Docket No.: TAW-012US  
(PATENT)

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Dated: October 5, 2006

Signature:

  
(Anthony A. Laureglano)**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Nobuo Kimizuka *et al.*

Application No.: 10/524,079

Confirmation No.: 4214

Filed: October 31, 2005

Art Unit: 1756

For: MOLECULAR-ORIENTED POLYMER GEL  
AND CAST FILM WITH SELF-  
ORGANIZABLE AMPHIPHILIC COMPOUND  
AS TEMPLATE, AND THEIR PRODUCTION  
METHODS

Examiner: Shean Chiu WU

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby request that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt received by Applicants, a copy of which is attached hereto, has an error in the title and also an omission in the Assignment information. The title was amended via a Preliminary Amendment filed with this '371 application on February 8, 2005. The title should read as follows:

**MOLECULAR ORIENTED POLYMER GEL AND CAST FILM WITH SELF-  
ORGANIZABLE AMPHIPHILIC COMPOUND AS TEMPLATE, AND THEIR  
PRODUCTION METHODS**

The Assignees are:

Honda Giken Kogyo Kabushiki Kaisha, Tokyo, JAPAN and

Nobuo Kimizuka, Fukuoka-shi, JAPAN

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Application No.: 10/524,079

OCT 05 2006

Docket No.: TAW-012US

A copy of the Supplemental Application Data Sheet filed with the Response to Missing Requirements on October 31, 2005 is attached in support of this addition.

Applicants additionally request that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect these corrections.

Dated: October 5, 2006

Respectfully submitted,

By

  
Anthony A. Laurenzano

Registration No.: 68,220

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(617) 742-4214 (Fax)

Attorney/Agent For Applicants



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/524,079	10/31/2006	1712	1480	TAW-012US	7	21	5

CONFIRMATION NO. 4214

00959

LAHIVE & COCKFIELD, LLP.  
28 STATE STREET  
BOSTON, MA 02109

## FILING RECEIPT



\*0000000017925932\*

Date Mailed: 01/27/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Nobuo Kimizuka, Fukuoka-shi, JAPAN;  
Kazuhiro Kagawa, Wako-shi, JAPAN;  
Takuya Nakashima, Ikoma-shi, JAPAN;

## Assignment For Published Patent Application

Honda Giken Kogyo Kabushiki Kaisha, Tokyo, JAPAN and  
Nobuo Kimizuka, Fukuoka-shi, JapanPower of Attorney: The patent practitioners associated with Customer Number 00959.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/10068 08/07/2003

## Foreign Applications

JAPAN 2002-231958 08/08/2002  
JAPAN 2003-013943 01/22/2003

Projected Publication Date: 05/04/2008

Non-Publication Request: No

Early Publication Request: No

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Page 2 of 3

OCT 05 2006

**Title**

~~Molecule alignment polymer gel and molecule alignment polymer cast film having self-organizing  
amphiphilic compound as template and process for producing the same~~

Molecular oriented polymer gel and cast film with self-organizable amphiphilic compound  
Preliminary Class as template, and their production methods

252

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Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

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For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Dated: 8 February 2005

Signature: 

(Anthony A. Laurentino)

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Packet No.: TAW-012US  
(PATENT)**IN THE UNITED STATES PATENT OFFICE AS DESIGNATED OFFICE (DO/US)****In re Patent Application of:****Nobuo Kimizuka *et al.*****International Application No.: PCT/JP2003/010068****International Filing Date: 7 August 2003****Application No.: NEW APPLICATION****Art Unit: N/A****Filed: Concurrently Herewith****Examiner: Not Yet Assigned****For: MOLECULAR-ORIENTED POLYMER GEL  
AND CAST FILM WITH SELF-  
ORGANIZABLE AMPHIPHILIC COMPOUND  
AS TEMPLATE, AND THEIR PRODUCTION  
METHODS (as amended)****FIRST PRELIMINARY AMENDMENT****MS PCT****Commissioner for Patents****P.O. Box 1450****Alexandria, VA 22313-1450****Dear Sir:****INTRODUCTORY COMMENTS**

Prior to examination on the merits, please amend the above-identified U.S. patent application as follows:

**Amendments to the Specification begin on page 2 of this paper.**

**Amendments to the Claims are reflected in the listing of claims which begins on page 3 of this paper.**

**Remarks/Arguments begin on page 7 of this paper.**



Application No.: NEW APPLICATION

Docket No.: TAW-012US

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OCT 05 2006**AMENDMENTS TO THE SPECIFICATION**

In addition to the Article 34 Amendments submitted by the Applicants during the prosecution of the corresponding international patent application, we further amend the specification as follows:

**In the Specification:**

Please amend the title as follows:

~~MOLECULE ALIGNMENT POLYMER GEL AND MOLECULE ALIGNMENT  
POLYMER CAST FILM HAVING SELF ORGANIZING AMPHIPHILIC COMPOUND AS  
TEMPLATE AND PROCESS FOR PRODUCING THE SAME~~

MOLECULAR ORIENTED POLYMER GEL AND CAST FILM WITH SELF-  
ORGANIZABLE AMPHIPHILIC COMPOUND AS TEMPLATE, AND THEIR  
PRODUCTION METHODS

Page 1, line 2, after the title, please insert the following new paragraph:

**Related Applications**

This application is a 35 U.S.C. 371 national stage filing of International Application No. PCT/JP2003/010068, filed 7 August 2003, which claims priority to Japanese Patent Application No. 2002-231958 filed on 8 August 2002 and Japanese Patent Application No. 2003-013943 filed 22 January 2003 in Japan. The contents of the aforementioned applications are hereby incorporated by reference.

Application No.: NEW APPLICATION

Docket No.: TAW-012US

AMENDMENTS TO THE CLAIMSRECEIVED  
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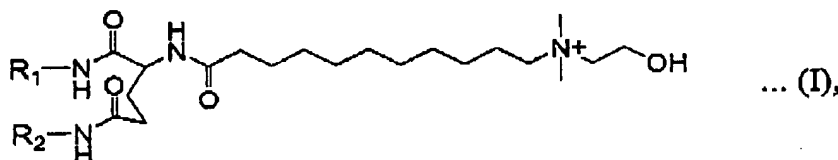
OCT 05 2006

In addition to the Article 34 Amendments submitted by the Applicants during the prosecution of the corresponding international patent application, we further amend the claims as follows:

1. (previously presented) A molecular-oriented polymer gel obtained by self-assembly of a self-organizable amphiphilic compound and a monomer interacting with said amphiphilic compound, and then polymerizing said monomer, said monomer being thiophene and/or its derivative, pyrrole and/or its derivative, or 2-acrylamide-2-methylpropanesulfonic acid.

2. (original) The molecular-oriented polymer gel according to claim 1, wherein said amphiphilic compound is a cation comprising a linear or branched alkyl group having 20 or less carbon atoms.

3. (previously presented) A molecular-oriented polymer gel obtained by self-assembly of a self-organizable amphiphilic compound and a monomer interacting with said amphiphilic compound, and then polymerizing said monomer, said amphiphilic compound being represented by the following general formula (I):



wherein R<sub>1</sub> and R<sub>2</sub> represent linear or branched alkyl groups having 20 or less carbon atoms, which may be the same or different.

4. (previously presented) The molecular-oriented polymer gel according to claim 3, wherein said monomer is thiophene and/or its derivative, pyrrole and/or its derivative, or 2-acrylamide-2-methylpropanesulfonic acid, or another anionic monomer than said thiophene derivative and said pyrrole derivative.

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5. (original) The molecular-oriented polymer gel according to claim 4, wherein said anionic monomer comprises a sulfonic group.

6. (original) The molecular-oriented polymer gel according to claim 5, wherein said anionic monomer is 2-acrylamide-2-methylpropanesulfonic acid.

7. (currently amended) The molecular-oriented polymer gel according to claim 2 ~~any one of claims 2 to 6~~, wherein the linear or branched alkyl group of said amphiphilic compound has 10 or less carbon atoms.

8. (Canceled)

9. (currently amended) The molecular-oriented polymer gel according to claim 4 ~~any one of claims 1, 2, 4 and 7~~, wherein said thiophene derivative is at least one selected from the group consisting of 3-thiophencarboxylic acid, 3-thiophenacetic acid, 3-thiophene ethanol, 3,4-ethylenedioxythiophene and bis(thiophene), and wherein said pyrrole derivative is 3-pyrrolecarboxylic acid or 3-pyrroleacetic acid.

10. (previously presented) A molecular-oriented polymer cast film obtained by casting a solution of a self-organizable amphiphilic compound and a monomer interacting with said amphiphilic compound, and then polymerizing said monomer, said monomer being thiophene and/or its derivative, pyrrole and/or its derivative, or 2-acrylamide-2-methylpropanesulfonic acid.

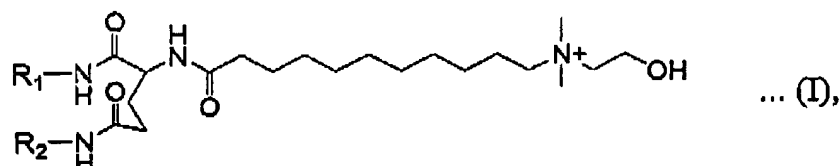
11. (original) A molecular-oriented polymer cast film obtained by casting a solution of a self-organizable amphiphilic compound on an electrode, and then supplying current to said electrode in a solution containing a monomer which is thiophene and/or its derivative, or a monomer which is pyrrole and/or its derivative, to electrolytically polymerize said monomer.

12. (currently amended) The molecular-oriented polymer cast film according to claim ~~10 or~~ 11, wherein said amphiphilic compound is a cation comprising a linear or branched alkyl group having 20 or less carbon atoms.

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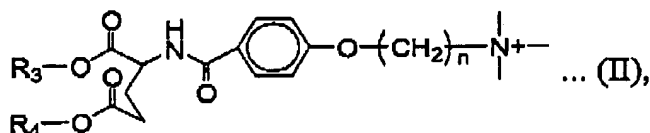
Docket No.: TAW-012US

13. (previously presented) A molecular-oriented polymer cast film obtained by casting a solution of a self-organizable amphiphilic compound and a monomer interacting with said amphiphilic compound, and then polymerizing said monomer, said amphiphilic compound being represented by the following general formula (I):



wherein  $R_1$  and  $R_2$  represent linear or branched alkyl groups having 20 or less carbon atoms, which may be the same or different.

14. (original) The molecular-oriented polymer cast film according to claim 12, wherein said cation is represented by the following general formula (II):



wherein  $R_3$  and  $R_4$  represent linear or branched alkyl groups having 20 or less carbon atoms, which may be the same or different, and  $n$  is an integer of 2 to 12.

15. (previously presented) The molecular-oriented polymer cast film according to claim 13, wherein said monomer is thiophene and/or its derivative, pyrrole and/or its derivative, or another anionic monomer than said thiophene derivative and said pyrrole derivative.

16. (previously presented) The molecular-oriented polymer cast film according to claim 15, wherein said anionic monomer other than said thiophene derivative and pyrrole derivative is 2-acrylamide-2-methylpropanesulfonic acid.

Application No.: NEW APPLICATION

Docket No.: TAW-012US

17. (currently amended) The molecular-oriented polymer cast film according to claim 1 ~~any one of claims 10 to 12, 14 and 15~~, wherein said thiophene derivative is at least one selected from the group consisting of 3-thiophencarboxylic acid, 3-thiophenacetic acid, 3-thiophene ethanol, 3,4-ethylenedioxythiophene and bis(thiophene), and wherein said pyrrole derivative is 3-pyrrolicarboxylic acid or 3-pyrroleacetic acid.

18. (currently amended) A method for producing the molecular-oriented polymer gel recited in claim 1 ~~any one of claims 1 to 7 and 9~~, comprising the steps of mixing said amphiphilic compound and said monomer to self-organize them, and then polymerizing said monomer.

19. (original) The method for producing a molecular-oriented polymer gel according to claim 18, wherein the polymerization reaction of said monomer is carried out at a temperature lower than a phase transition temperature of a self-organized-to-molecular-level body of said amphiphilic compound and said monomer.

20. (currently amended) A method for producing the molecular-oriented polymer cast film recited in claim 10 ~~any one of claims 10 to 17~~, comprising the steps of preparing a solution of said amphiphilic compound and said monomer, casting said solution, and then polymerizing said monomer.

21. (currently amended) A method for producing the molecular-oriented polymer cast film recited in claim 10 ~~any one of claims 10 to 17~~, comprising the steps of preparing a solution of said amphiphilic compound, casting said solution on an electrode, dried said solution to form a film of said amphiphilic compound, immersing said film in a solution comprising said monomer, and supplying current to said electrode to electrolytically polymerize said monomer.

22. (currently amended) The method for producing a molecular-oriented polymer cast film according to claim 20 ~~or 21~~, wherein the polymerization reaction of said monomer is carried out at a temperature lower than a phase transition temperature of a self-organized-to-molecular-level body of said amphiphilic compound and said monomer.

Application No.: NEW APPLICATION

Docket No.: TAW-012US

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REMARKS

Preliminary to examination of this application, please amend the specification and amend claims 7, 9, 12, 17, 18, and 20-22 as set forth above. Applicants amend the claims to remove multiple dependencies, to provide proper antecedent basis, and to address other matters of form. The foregoing amendments are not related to issues of patentability. Support for the amendments to the claims can be found throughout the specification, Figures and claims as originally filed.


Applicant respectfully submits that the foregoing amendments introduce no new matter. Entry of the foregoing Preliminary Amendment is in order and requested.

If there are any questions regarding the proposed amendments to the application, we invite the Examiner to call Applicants' representative at the telephone number below.

Applicant believes no fee is due with this statement. However, if a fee is due, please charge our Deposit Account No. 12-0080, under Order No. TAW-012US from which the undersigned is authorized to draw

Dated: 8 February 2005

Respectfully submitted,

By   
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Registration No.: 38,220  
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Attorney/Agent For Applicant

**Supplemental Application Data Sheet****Application Information****COPY**

Application number:: 10/524079  
Filing Date:: 02/08/05  
Application Type:: Regular  
Subject Matter:: Utility  
Suggested Group Art Unit:: N/A  
CD-ROM or CD-R?: None  
Sequence submission?: None  
Computer Readable Form (CRF)?:: No  
Title:: MOLECULAR-ORIENTED POLYMER GEL  
AND CAST FILM WITH SELF-  
ORGANIZABLE AMPHIPHILIC  
COMPOUND AS TEMPLATE, AND THEIR  
PRODUCTION METHODS  
Attorney Docket Number:: TAW-012US  
Request for Early Publication?: No  
Request for Non-Publication?: No  
Small Entity?: No  
Petition Included?: No  
Secrecy Order In Parent Appl.?: No

**Applicant Information**

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State or Province of mailing address:: Nara-ken  
Country of mailing address:: Japan



**Correspondence Information**

Correspondence Customer Number:: 00959

**Representative Information**

Representative Customer Number:: 00959

**Domestic Priority Information**

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	National Stage of	PCT/JP2003/01006 8	08/07/03

**Foreign Priority Information**

Country::	Application number::	Filing Date::	Priority Claimed::
Japan	2002-231958	08/08/02	Yes
Japan	2003-013943	01/22/03	Yes

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Country of mailing address:: Japan  
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State or Province of mailing address:: Fukuoka-ken  
Country of mailing address:: Japan